



FORM PTO-1449  INFORMATION DISCLOSURE STATEMENT	ATTY. DOCKET NO. 2066.0230003	APPLICATION NO. 10/706,070
	FIRST NAMED INVENTOR Jeffrey W. WILLIS	
	FILING DATE November 13, 2003	ART UNIT 3746
	U.S. PATENT DOCUMENTS	

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
M	AA1 2,864,244	12/1958	Prachar			
	AB1 3,124,924	3/1964	Smith			
	AC1 3,250,973	5/1966	Dawson			
	AD1 3,569,809	3/1971	Comer			
	AE1 3,591,844	7/1971	Schonebeck			
	AF1 3,703,076	11/1972	Hagemelster			
	AG1 3,724,214	4/1973	Bryant			
	AH1 3,764,815	10/1973	Habock et al.			
	AI1 3,809,914	5/1974	Kilgore et al.			
	AJ1 3,829,758	8/1974	Studtmann			
V	AK1 3,937,974	2/1976	Lafuze			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
M	AL1 0 472 294	2/1992	EP			Yes
	AM1 0 679 800	11/1995	EP			No
	AN1 0 837 231	4/1998	EP			Yes
	AO1 0 901 218	3/1999	EP			No
V	AP1 WO 94/27359	11/1994	WO			Yes

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

M	AR	1	Japanese Patent Application entitled "Turbogenerator/Motor Control System"; filed May 13, 1999, Japanese Serial No. 133003/1999.
M	AS	1	Japanese Patent Application entitled "Command and Control System and Method for Multiple Turbogenerators", filed October 27, 1999, Japanese Serial No. 305375/1999.
M	AT	1	Japanese Patent Application entitled "Turbogenerator/Motor Controller", filed August 4, 1998, Japanese Serial No. 220231/1998.

EXAMINER	<i>J. H. O'NEIL</i>	DATE CONSIDERED <i>05/17/03</i>
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.		

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**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
/M	AA2	3,991,357	11/1976	Kaminski			
	AB2	4,005,581	2/1977	Aanstad			
	AC2	4,015,187	3/1977	Sasaki et al.			
	AD2	4,039,914	8/1977	Steigerwald et al.			
	AE2	4,093,869	6/1978	Hoffmann et al.			
	AF2	4,119,861	10/1978	Gocho			
	AG2	4,258,424	3/1981	Giras et al.			
	AH2	4,265,099	5/1981	Johnson et al.			
	AI2	4,292,534	9/1981	Diegel et al.			
	AJ2	4,340,820	7/1982	Meyer-Pitroff et al.			
✓	AK2	4,401,938	8/1983	Cronin			

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
/M	AL2	WO 98/25014	6/1998	WO			Yes
	AM2	WO 99/32762	7/1999	WO			No
	AN2	WO 99/52193	10/1999	WO			Yes
	AO2	WO 00/28191	5/2000	WO			No
✓	AP2						Yes
							No

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

AR	2	
AS	2	
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DATE CONSIDERED 01/17/05

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**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
/m	AA3	4,442,385	4/1984	Van Sickle			
	AB3	4,471,229	9/1984	Plohn et al.			
	AC3	4,481,459	11/1984	Mehl et al.			
	AD3	4,498,551	2/1985	Arbisi			
	AE3	4,551,980	11/1985	Bronicki			
	AF3	4,560,364	12/1985	Cohen			
	AG3	4,565,957	1/1986	Gary et al.			
	AH3	4,684,814	8/1987	Radomski			
	AI3	4,709,318	11/1987	Gephart et al.			
	AJ3	4,719,550	1/1988	Powell et al.			
✓	AK3	4,730,397	3/1988	Weiford et al.			

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL3						Yes
	AM3						No
	AN3						Yes
	AO3						No
	AP3						Yes
							No

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

AR	3	
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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
/M	AA4	4,754,607	7/1988	Mackay			
	AB4	4,757,686	7/1988	Kawamura et al.			
	AC4	4,772,802	9/1988	Glennon et al.			
	AD4	4,786,852	11/1988	Cook			
	AE4	4,802,882	2/1989	Heidrich			
	AF4	4,830,412	5/1989	Raad et al.			
	AG4	4,833,887	5/1989	Kawamura et al.			
	AH4	4,841,216	6/1989	Okada et al.			
	AI4	4,862,009	8/1989	King			
	AJ4	4,883,973	11/1989	Lakey et al.			
	AK4	4,908,565	3/1990	Cook et al.			

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL4						Yes
	AM4						No
	AN4						Yes
	AO4						No
	AP4						Yes
							No

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

AR	4	
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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
/w/	AA5	4,939,441	7/1990	Dhyanchand			
/	AB5	4,955,199	9/1990	Kawamura			
/	AC5	4,967,096	10/1990	Diemer et al.			
/	AD5	4,968,926	11/1990	Dhyanchand			
/	AE5	4,982,569	1/1991	Bronicki			
/	AF5	5,013,929	5/1991	Dhyanchand			
/	AG5	5,015,941	5/1991	Dhyanchand			
/	AH5	5,029,062	7/1991	Capel			
/	AI5	5,038,566	8/1991	Hara			
/	AJ5	5,055,764	10/1991	Rozman et al.			
✓	AK5	5,057,763	10/1991	Torii et al.			

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL5						Yes No
	AM5						Yes No
	AN5						Yes No
	AO5						Yes No
	AP5						Yes No

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

	AR	5	
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EXAMINER	<i>D. A. M. G.</i>	DATE CONSIDERED
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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
/ny	AA6	5,068,590	11/1991	Glennon et al.			
	AB6	5,088,286	2/1992	Muraji			
	AC6	5,097,195	3/1992	Raad et al.			
	AD6	5,115,183	5/1992	Kyoukane et al.			
	AE6	5,158,504	10/1992	Stocco			
	AF6	5,191,520	3/1993	Eckersley			
	AG6	5,214,371	5/1993	Naidu			
	AH6	5,237,260	8/1993	Takakado et al.			
	AI6	5,250,890	10/1993	Tanamachi et al.			
	AJ6	5,252,860	10/1993	McCarty et al.			
	AK6	5,260,637	11/1993	Pizzi			

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL6						Yes
	AM6						No
	AN6						Yes
	AO6						No
	AP6						Yes

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

AR	6		
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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
/M	AA7	5,281,905	1/1994	Dhyanchand et al.			
	AB7	5,291,106	3/1994	Murty et al.			
	AC7	5,309,081	5/1994	Shah et al.			
	AD7	5,325,043	6/1994	Parmo			
	AE7	5,345,154	9/1994	King			
	AF7	5,363,032	11/1994	Hanson et al.			
	AG7	5,364,309	11/1994	Heidrich et al.			
	AH7	5,373,195	12/1994	De Doncker et al.			
	AI7	5,381,081	1/1995	Radun			
	AJ7	5,387,859	2/1995	Murugan et al.			
↓	AK7	5,404,092	4/1995	Gegner			

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL7						Yes
	AM7						No
	AN7						Yes
	AO7						No
	AP7						Yes
							No

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

	AR	7	
	AS	7	
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**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
/	AA8	5,406,797	4/1995	Kawamura			
/	AB8	5,428,275	6/1995	Carr et al.			
/	AC8	5,430,362	7/1995	Carr et al.			
	AD8	5,477,091	12/1995	Fiorina et al.			
	AE8	5,488,286	1/1996	Rozman et al.			
	AF8	5,495,162	2/1996	Rozman et al.			
	AG8	5,495,163	2/1996	Rozman et al.			
	AH8	5,510,696	4/1996	Naidu et al.			
	AI8	5,512,811	4/1996	Latos et al.			
	AJ8	5,544,484	8/1996	Voss et al.			
	AK8	5,545,090	8/1996	Kirshey			

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL8						Yes
	AM8						No
	AN8						Yes
	AO8						No
	AP8						Yes
							No

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

	AR	8	
	AS	8	
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EXAMINER	<i>J. M. Cerny</i>	DATE CONSIDERED
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**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
/13	AA9	5,546,742	8/1996	Shekhawat et al.			
	AB9	5,550,410	8/1996	Titus			
	AC9	5,550,455	8/1996	Baker			
	AD9	5,559,421	9/1996	Miyakawa			
	AE9	5,563,802	10/1996	Piahn et al.			
	AF9	5,568,023	10/1996	Grayer et al.			
	AG9	5,572,108	11/1996	Windes			
	AH9	5,581,168	12/1996	Rozman et al.			
	AI9	5,587,647	12/1996	Bansal et al.			
	AJ9	5,594,322	1/1997	Rozman et al.			
	AK9	5,637,987	6/1997	Fattic et al.			

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL9						Yes
	AM9						No
	AN9						Yes
	AO9						No
	AP9						Yes
							No

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

AR	9	
AS	9	
AT	9	

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**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
/M	AA10	5,646,458	7/1997	Bowyer et al.			
	AB10	5,656,915	8/1997	Eaves			
	AC10	5,743,227	4/1998	Jacquet et al.			
	AD10	5,767,637	6/1998	Lansberry			
	AE10	5,789,881	8/1998	Egami et al.			
	AF10	5,799,484	9/1998	Nims			
	AG10	5,819,524	10/1998	Bosley et al.			
	AH10	5,847,522	12/1998	Barba			
	AI10	5,903,116	5/1999	Gels et al.			
	AJ10	5,905,346	5/1999	Yamada et al.			
	AK10	5,929,537	7/1999	Glennon			

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL10						Yes
	AM10						No
	AN10						Yes
	AO10						No
	AP10						Yes

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

	AR	10	
	AS	10	
	AT	10	

EXAMINER	<i>D. M. /</i>	DATE CONSIDERED
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/ny	AA11 5,936,312	8/1999	Koide et al.			
	AB11 5,939,794	8/1999	Sakai et al.			
	AC11 5,946,086	8/1999	Bruce			
	AD11 5,982,645	11/1999	Levran et al.			
	AE11 5,998,976	12/1999	Steffan			
	AF11 6,005,297	12/1999	Sasaki et al.			
	AG11 6,020,713	2/2000	Gels et al.			
	AH11 6,023,135	2/2000	Gilbreth et al.			
	AI11 6,031,294	2/2000	Gels et al.			
	AJ11 6,051,951	4/2000	Arai et al.			
	AK11 6,054,776	4/2000	Sumi			

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL11					Yes
	AM11					No
	AN11					Yes
	AO11					No
	AP11					Yes
						No

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

	AR	11	
	AS	11	
	AT	11	

EXAMINER	<i>J. A. Miller</i>	DATE CONSIDERED
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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
/u	AA12	6,064,122	5/2000	McConnell	/		
/	AB12	6,087,734	7/2000	Maeda et al.			
/	AC12	6,107,775	8/2000	Rice et al.			
/	AD12	6,147,414	11/2000	McConnell et al.			
/	AE12	6,153,942	11/2000	Roseman et al.			
/	AF12	6,169,332 B1	1/2001	Taylor et al.			
/	AG12	6,169,334 B1	1/2001	Edelman			
/	AH12	6,175,210 B1	1/2001	Schwartz et al.			
y	AI12	6,194,794 B1	2/2001	Lampe et al.			
	AJ12	6,093,975	7/2000	Peticolas			
	AK12	6,526,757	03/2003	Mackay			

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL12						Yes
	AM12						No
	AN12						Yes
	AO12						No
	AP12						Yes
							No

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

	AR	<u>12</u>	
	AS	<u>12</u>	
	AT	<u>12</u>	

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<i>JM</i>	AA13 6,606,864	08/2003	Mackay			
	AB13 3,500,105	02/1971	Clifford			
	AC13 3,623,317	11/1971	Foster-Pegg			
	AD13 4,062,184	12/1977	Hagen			
	AE13 4,724,670	02/1988	Greer			
	AF13 4,781,527	11/1988	Miller			
	AG13 4,785,624	11/1988	Smith et al.			
	AH13 2,772,636	12/1956	Yates			
	AI13 3,161,020	12/1964	LaHaye			
	AJ13 3,313,103	01/1967	Johnson			
	AK13 4,039,804	08/1977	Reed et al.			

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
AL13						Yes
AM13						No
AN13						Yes
AO13						No
AP13						Yes
						No

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

AR	<u>13</u>	
AS	<u>13</u>	
AT	<u>13</u>	

EXAMINER	<i>JM</i>	DATE CONSIDERED
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/M	AA14	6,487,096	11/2002	Gilbreth et al.			
	AB14	20020099476	07/2002	Hamrin et al.			
	AC14	3,313,103	04/1967	Johnson			
	AD14	3,740,948	01/1973	Kellett			
	AE14	5,490,376	02/1996	Van Der Burgt			
	AF14	6,003,297	12/1999	Ziener			
	AG14	2,285,976	06/1942	Huitson			
	AH14	3,396,083	03/1946	Chase			
	AI14						
	AJ14						
Y	AK14						

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL14						Yes
	AM14						No
	AN14						Yes
	AO14						No
	AP14						Yes
							No

**OTHER (Including Author, Title, Date, Pertinent Pages, etc.)**

	AR	14	
	AS	14	
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